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| Application Number | | Application Number 10/676,839 TRANSMITTAL Filing Date 9/30/2003 **FORM** First Named Inventor Salih B. Gokturk Art Unit 3736 (to be used for all correspondence after initial filing) Examiner Name Not Yet Assigned Attorney Docket Number S01-264/US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Technology Center (TC) Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund **Express Abandonment Request** CD. Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Ron Jacobs Ph. D. Reg No. 50,142 Individual name Signature Date -10-04 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name SYLVIA LEE 8110102 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No: S01-264/US



### In the United States Patent and Trademark Office

10/676,839 9/30/2003

Title: 9/30/2003
Title: 9/30/2003
Three-Dimensional Pattern Recognition Method to Detect Shapes in Medical Images

Applicant(s): Salih B. Gokturk Examiner: Not Yet Assigned

Art Unit: 3736

Mailed 8/10/04

#### **Information Disclosure Statement**

Commissioner of Patents and Trademarks Alexandria, VA 22313

Dear Sir or Madam:

Attached is a completed Form PTO-1449 and copies of the pertinent parts of the references cited thereon. It is requested that the document(s) on the enclosed form be made of record.

## Part I (Authority)

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

( ) 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113 or (2) a notice of allowance under 37 C.F.R. § 1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) (\$180), or a certification according to 37 C.F.R. § 1.97(e).

( ) 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(c).

Accordingly, this information disclosure statement requires the petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), a certification according to 37 C.F.R. § 1.97(e), and a petition requesting consideration of the information disclosure statement.

#### **Conditional Petition**

It is respectfully requested that this information disclosure statement be considered, good cause being presented in Part III herein (certification). Please treat this paper as the required petition.

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record.

To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

### Part II (Payment)

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- (X) No fee is due.
- ( ) The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).
- ( ) The petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) is enclosed (\$180).

#### Part III (Certification)

### Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
  - ( ) The "communication from a foreign patent office" referred to in the certification is an International Search Report, possibly issued by the U.S. Patent and Trademark Office in its capacity as an International Search Authority or International Preliminary Examining Authority.
  - () The "counterpart foreign application" referred to in the certification corresponds to an ancestor or descendent application of the application for which this information disclosure statement is filed.
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

# Part IV (Additional Statement)

An additional statement regarding these items of information () is, (X) is not, enclosed.

Copies of the cited documents () are enclosed, (X) are of record in parent application Serial No.

and will be provided if the Examiner deems it convenient.

Dated: 8-10-64

Respectfully submitted,

Ron Jacobs

Reg. No. 50,142

2345 Yale Street, 2<sup>nd</sup> Floor

Palo Alto, CA 94306 tel: (650) 424-0100

fax: (650) 424-0141

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	P	J.G. Fletcher, et al., "Optimization of CT colonography technique: prospective trial in 180 patients," <i>Radiology</i> , vol. 216(3), pp. 704-711, 2000												
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	CC	Yoshida et al., (2000) in a paper entitled "Detection of colonic polyps in CT colonography based on geometric features" and published in Radiology 217 (SS):582-582
	DD	SB Gokturk & C Tomasi (2000) in a paper entitled "A graph method for the conservative detection of polyps in the colon" and published at the 2 <sup>nd</sup> International Symposium on Virtual Colonoscopy November 2000 Boston, USA
	EE	DS Paik et al. (2000) in a paper entitled "Computer aided of polyps in CT colonography: Free response roc evaluation of performance' and published in Radiology 217 (SS)370
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	GG	MK Hu (1962) entitled "Visual pattern recognition by moment invariants" and published in IRE transactions on information theory IT-8:179-187
	нн	B Scholkopf (1997) in a book entitled "Support Vector Learning" and published by Munich, Germany; R. Oldenbourg Verlag.
EXAMIN	ER	DATE CONSIDERED
1		

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.